

Request For Proposal (RFP)

For

Procurement of Hardware Security Module for Key Management

Information Security Department

Canara Robeco Asset Management Company Ltd.

("the Company or CRAMC")

Construction House, 4th Floor, 5, Walchand Hirachand Marg, Ballard Estate,
Fort, Mumbai, Maharashtra 400001

17th day of January 2024

Important Note: Applications in response to this RFP are invited to carry out a preliminary evaluation to assess the suitability of the Bidders to take up the assignment based on our internal norms and accordingly, to shortlist the bidding firms not exceeding five for the purpose of moving to the second phase of technical and commercial bidding process.

Disclaimer

The information contained in this RFP document or information provided subsequently to Bidders whether verbally or in documentary form by or on behalf of Canara Robeco Asset Management Company Limited (CRAMC), is provided to the Bidder(s) on the terms and conditions set out in this RFP document and all other terms and conditions subject to which such information is provided.

This RFP document is not an agreement and is not an offer or invitation by CRAMC to any parties other than the Bidders who are qualified to submit the applications as per the eligibility conditions. The purpose of this RFP is to provide the Bidder(s) with information to assist the formulation of their proposals. This RFP does not claim to contain all the information each Bidder may require. Each Bidder firm should conduct its own investigations and analysis and should check the accuracy, reliability and completeness of the information in this RFP. CRAMC makes no representation or warranty and shall incur no liability under any law, statute, rules or regulations as to the accuracy, reliability or completeness of this RFP.

The information contained in the RFP document is selective and is subject to updating, expansion, revision and amendment. It does not purport to contain all the information that an Bidder may require. CRAMC does not undertake to provide any Bidder with access to any additional information or to update the information in the RFP document or to correct any inaccuracies therein, which may become apparent. CRAMC reserves the right or discretion to change, modify, add or alter any or all of the provisions of this RFP document and / or the selection process, without assigning any reasons, whatsoever. Such change will be intimated to all Bidders. Any information contained in this RFP document will be superseded by any later written information on the same subject made available to all recipients by CRAMC.

CRAMC may in its absolute discretion, but without being under any obligation to do so, update, amend or supplement the information in this RFP.

CRAMC reserves the right to reject any or all the expression of interest / proposals received in response to this RFP document at any stage without assigning any reason whatsoever. The decision of CRAMC shall be final, conclusive and binding on all the parties.

Canara Robeco Asset Management Company Ltd**Invitation of Tender Offers****1. Invitation for appointment**

- 1.1. Canara Robeco Asset Management Company Ltd (CIN: U65990MH1993PLC071003) is a company incorporated under the Companies Act 1956 having its Registered and Corporate Office on the 4th Floor, Construction House, No.5, Walchand Hirachand Marg, Ballard Estate, Mumbai 40001, hereinafter referred to as "The Company" invites sealed tender offers in the prescribed format (Attached as **Annexure-II**) from eligible, reputed entities for procurement of Hardware Security Module (HSM) for Key Management. In this RFP, the term bidder/prospective bidder refers to the primary bidder participating in delivering services mentioned in the scope of works.

Important Note: Based on our internal norms, bidding firms not exceeding five will be shortlisted for the purpose of technical and commercial bidding process.

1.2 Due Diligence

The Bidder is expected to examine all instructions, forms, terms, and specifications in this RFP. Application shall be deemed to have been done after careful study and examination of this RFP with full understanding of its implications. The Application should be precise, complete and in the prescribed format as per the requirement of this RFP. Failure to furnish all information required by this RFP or submission of Application not responsive to this RFP in every respect will be at the Bidder's risk and may result in rejection of the Application.

1.3 Cost of Participation

The Bidder shall bear all costs associated with the preparation and submission of its Application and CRAMC/CRMF, will in no case be held responsible or liable for these costs, regardless of the conduct or outcome of the selection process.

1.4 Clarification of RFP Documents

A prospective Bidder requiring any clarification on this RFP may contact CRAMC in writing by E-mail at infosec.ciso@canararobeco.com. CRAMC shall respond in writing by E-Mail to any request for clarification of the application documents, from the prospective Bidders, which it receives not later than January 22, 2024. Further CRAMC will respond by E-Mail to all clarifications, without identifying the source of the inquiry. CRAMC shall not be responsible for any external agency delays.

1.5 Amendment of RFP Document

- a) CRAMC reserves the sole right to include any addendum to this entire selection process. The Bidders shall not claim as a right for requiring CRAMC to do the aforesaid.
- b) At any time before the deadline for submission of proposals, CRAMC may, for any reason,

whether at its own initiative or in response to a clarification requested by prospective Bidders, modify this RFP Document.

- c) All Bidders who have responded to this RFP shall be notified of the amendment in writing by e-mail or fax or post, and all such amendments shall be binding on them.
 - d) If required, in order to allow prospective Bidders reasonable time in which to take the amendment into account in preparing their applications, CRAMC reserves the right to extend the deadline for the submission of applications. However, no request from the Bidder shall be binding on CRAMC for the same.
- 1.6 The hard copy of the application duly signed and stamped along with supporting documents should be submitted in a sealed cover to the following address:

**Chief Information Security Officer,
Canara Robeco Asset Management Company Ltd,
4th Floor, Construction House,
No.5, Walchand Hirachand Marg,
Ballard Estate, Mumbai 40001.**

and sent by postal service/courier/hand delivery duly superscribing the envelope **“Application for SELECTION OF HARDWARE SECURITY MODULE FOR KEY MANAGEMENT.”**

- 1.7 The proposed appointment / subscription would be for 3 years (i.e. from March 01, 2024, to February 28, 2027) and shall be renewed for a further period subject to review by CRAMC.
- 1.8 The last date for submission of the applications with all relevant documents in a closed cover is January 27, 2024, by 5.00 PM. Applications received thereafter shall not be considered.
- 1.9 Mere submission of application does not, in any way, constitute a guarantee for award of any assignment by the Company.
- 1.10 The Company reserves the sole right to shortlist and award the assignments based on specified criteria and subject to approval of the appointment by Competent Authority.

2 Information Required

- 2.1 Mandatory information to be submitted on the letter head of the firm to be eligible for the bidding process (Please attach as Annexure I):

Sr.No.	Particulars	Details
Basic Data		
1.	Name of the Firm	

2.	Address of Head Office Number of Branch Offices (Specially mention the office address, Partner and other details of the contact person in Mumbai office)																					
3.	Constitution																					
4.	Date of Establishment																					
5.	Company Head Office Address																					
6.	Registered Office Address																					
7.	GST Number																					
8.	<p>Whether the Firm or any partner has ever been debarred by RBI/SEBI/CAG/or any Government Organization if yes, details:</p> <p>Regn.No. Name of the partner Brief reasons for debarment</p> <p>Note: Firm includes Partnership Firm, LLP or a limited Company. Partner includes director as well.</p>																					
9.	Name, Designation, Tel. No, E-Mail of the authorized signatory submitting the RFP (Please enclose the copy of board resolution)																					
10.	Any pending or past litigation (within three years)? If yes please give details																					
11.	Turnover for past 3 years (FY, Turnover, Net Profit, Net Worth)																					
12.	<p>Brief profile of Partners/Director in the following manner)</p> <table border="1"> <thead> <tr> <th>Name/ Qualification</th> <th>Total Experience</th> <th>Experience with Current firm</th> <th>Project Details</th> </tr> </thead> <tbody> <tr><td></td><td></td><td></td><td></td></tr> <tr><td></td><td></td><td></td><td></td></tr> <tr><td></td><td></td><td></td><td></td></tr> <tr><td></td><td></td><td></td><td></td></tr> </tbody> </table>		Name/ Qualification	Total Experience	Experience with Current firm	Project Details																
Name/ Qualification	Total Experience	Experience with Current firm	Project Details																			

13.	Past Experience of similar Experience				
	Name BFSI /NBFC/ AMC	Nature Of Assignment	Year of Assignment	Project Manager	No of Applications

3. Documents to be submitted with the application.

Bidder shall submit the following documents along with the application.

- a. Mandatory information as per point No. 2.1 above
- b. Copies of certificate of experience including project details etc., in relation to similar assignment performed elsewhere, if any.
- c. Copy of technical specific encryption certificate issued to program managers.
- d. Copies of Registration Certificate issued to the firm.
- e. Copy of constitution Certificate issued.
- f. Registration/empanelment certificate/letter issued by RBI/CAG/SEBI (If any)
- g. STQC or similar Compliance of the product (If any)
- h. Scope along with sampling criteria for various areas of control presentation regarding approach & deliverables in case of clarification vendor must be requested to present their approach in CRAMC office.
- i. Letter of confirmation regarding non-disqualification as per Annexure - III

4 General Conditions

a.	No communication will be sent by the CRAMC, and no correspondence will be entertained in respect of firms, which are not being selected.
b.	The selected Firm, on receiving the offer letter from CRAMC, shall submit the hard copies of Letter of acceptance of terms and conditions, undertaking letter, and Undertaking of Fidelity and Secrecy (Formats will be shared with the selected firm).
c.	The assignment should be carried out in a professional manner and in case of any misconduct & negligence, CRAMC is free to report the matter to SEBI/RBI under the guidelines from time to time. This will be in addition to the disengagement from the

	assignment.
d.	By virtue of the engagement, the successful Bidder's team may have access to business information of CRAMC. CRAMC shall at all times have the sole ownership of and the right to use, all such data in perpetuity in the course of performing the Service(s) under the Engagement.
e.	Appointment of Firms shall be purely at discretion of CRAMC, and no rights whatsoever accrue to the firm for such appointment.
f.	<p>The selected firm will have to stick to the following Scope of Work:</p> <ul style="list-style-type: none"> - Implementation of an end-to-end installation of HSM solution with required Hardware and software. - The solution should be hosted at CRAMC's premises in High Availability mode, along with DR and a minimum uptime time of 99.95 %. - Bidder should carry out application maintenance related knowledge transfer, as per CRAMC's requirement. - With reference to the SEBI circular SEBI/HO/ITD/ITD_VAPT/P/CIR/2023/033 dated 06-03-2023 regarding the implementation of HSM, CRAMC intends to implement controls on encryption and Key management by following: <ul style="list-style-type: none"> • Bring your own key (BYOK) approach (the control and management of cryptographic keys that would be uploaded to the cloud to perform data encryption). • Bring your own encryption (BYOE) • Storing and managing the keys in a Hardware Security Module (HSM) to be implemented in a dedicated HSM to have complete control of Key management. However, it is to be noted that HSM should be designed in fault tolerance mode to ensure that the failure of HSM should not have an impact on data retrieval and processing. - The proposed HSM has to support signing, encryption, decryption of data and should be FIPS 140-2 or higher Complaint. - The solution should also comply with any guidelines and mandates issued by any regulatory body for encryption of Data where HSM needs to be incorporated. The bidder has to undertake to incorporate, at no cost to CRAMC, suitable changes in the solution including the software, procedure and operations as required from time to time to comply with any new regulations of Indian Law from RBI/ SEBI/ IBA/ MFI/ TRAI/ Govt. of India/ NPCI. - Bidder will be responsible for supply, installation, and end to end implementation of HSM Solution, including customization, documentation, training to CRAMC officials, etc. - The successful bidder has to supply, install, integrate, implement and maintain an end-to-end solution on a turnkey basis for HSM including but not limited to providing the required software, middleware etc. The solution shall also support the requisite certifications, integration, maintenance, patching, compliance, etc - Bidder will bear cost of all Software, middleware, web services, APIs including duties and import cost required for this implementation including Hardware, database and Operating system. - The selected bidder has to work in close coordination with the application vendors, provide required support & provide SDK and technical specifications of the HSM.

- The solution should be designed with redundancy in mind to ensure nil impact by failure of one or more components / servers or software.
- Bidder will provide user manual/media kit for application software, including manuals for operation, maintenance instruction, etc. The bidder is required to submit one set of complete technical documentation, brochures, user manuals, etc. in soft as well as hard copies. The documentation should include details regarding application architecture, , network infrastructure requirements, and disaster recovery plan. All the manuals shall be in English, and the documentations should be clearly indicative of the overall solution with architecture diagram.
- The required hardware and infrastructure would be installed at CRAMC's datacenter (DC and DR locations along with auto/manual synchronization). The solution should be configured with automatic replication to DR site with Recovery point objective (RPO) and Recovery time objective (RTO) as specified by the CRAMC's policy. The selected bidder has to ensure flawless switching of all the services under purview of this RFP to Disaster Recovery (DR) site during DR drill as and when decided by CRAMC or in case of non- availability of primary DC site.
- Delivering, installing, configuring, and commissioning of the HSM & licenses of specified configuration (details in Technical Bid) at Data Centre, Mumbai and DRC, Azure.
- The bidder should supply an adequate number HSM & licenses at DC and DR confirming to the OEM licensing policy. If at any point of time during the contract it is observed that adequate number of licenses is not provided, the bidder has to provide the same without any additional cost.
- Providing service and onsite support during warranty period and post warranty period as per CRAMC's requirement without any extra cost to CRAMC.
- Scope also includes, without any extra cost to CRAMC, providing onsite support for resolving configuration / Operating System related issues, reconfiguration of the HSM server as per the requirement of CRAMC during warranty and during post warranty period.
- The solution should comply with all the Technical and Functional Specification as mentioned in Annexure I of the RFP. Compliance with Technical and Functional Specification will be considered for Technical Evaluation of the bids. The Technical and functional Specification in Annexure I form an integral part of the Scope of Work and must always be read with it.
- CRAMC will review and evaluate the performance of the Bidder on all aspects of implementation of proposed end-to-end solution. In case CRAMC is not satisfied with the performance of the Bidder and/or with the services being provided by Bidder, CRAMC reserves the right to impose penalty on the Bidder or cancel the contract and/or invoke Bid security/Performance Guarantee.
- KMS will be a hardened virtual/physical appliance offering the industry leading enterprise key management solution enabling CRAMC to centrally manage encryption keys for both on premise as well as cloud requirements, provide granular access control and configure security policies. It will manage key lifecycle tasks including generation, rotation, destruction, import and export, provide role-based access control to keys and policies, support robust auditing and reporting.
- Solution provided should have the ability to synchronize on-premises Keys in real-time with CSP based KMS services for Azure, AWS, etc. with complete automation

	and audit logging and monitoring using BYOK API's.
g.	The firm shall adhere to the coverage strictly as per the scope as may be decided by CRAMC from time to time.
h.	CRAMC reserves the right to seek views from the entities with whom the firm is/has been/was associated.
i.	The firm shall not sub-contract without the express permission from CRAMC, part of the scope of work assigned to any outside firm or other persons.
j.	Any other terms and conditions of the assignment would be decided by CRAMC on a case-to-case basis.
k.	The BOM should be in line with the SEBI Circular SEBI/HO/ITD/ITD_VAPT/P/CIR/2023/033 dated 06-03-2023

5. Tenure of Assignment

CRAMC will appoint one firm for three financial years. The term may be extended solely at the discretion of CRAMC on satisfactory review by the competent authority. The warranty services of the HSM hardware shall be considered for three years as specified by the bidder.

6. Important information about other expenses

- a. No travelling allowance/ halting allowance shall be paid to the bidder for carrying out the Implementation of HSM, onsite support DC/ DR withing the warranty period and post warranty period.
- b. Payment to the bidders will be exclusive of tax.
- c. The bidders' commercials once fixed shall remain unchanged throughout the tenure of 3 years. CRAMC's decision will be final in this regard.

7. Conduct and Performance Monitoring

- a. CRAMC shall designate one of its senior officers as a single point contact for coordinating the assignment.
- b. CRAMC shall provide the requisite initial information of its activities and further support.
- c. CRAMC reserves the right to review the appointment at any point of time and if necessary, to cancel the appointment by giving 7 days' written notice. In case of termination of assignment, the remuneration for the incomplete month and the residual period of engagement shall not be payable by CRAMC.
- d. In case the firm fails to report serious omissions/ commissions/ non-compliance etc., CRAMC reserves the right to report the matter to SEBI/ RBI, which may result in appropriate action. Such firms will not be eligible for assignment of any information security related

activity with CRAMC for the next five years.

8. Representations and Warranties

- a. That the Bidder is a Partnership firm/LLP/Company which has the requisite qualifications, skills, experience and expertise in providing Service(s) contemplated hereunder, the financial wherewithal, the power and the authority to enter into the Engagement and provide the Service(s) sought by CRAMC.
- b. That the Bidder is not involved in any major litigation, potential, threatened and existing, that may have an impact of affecting or compromising the performance and delivery of Service(s) under this Engagement.
- c. That the representations made by the Bidder in its application are and shall continue to remain true and fulfill all the requirements as are necessary for executing the duties, obligations and responsibilities as laid down in the Engagement and the RFP Documents and unless CRAMC specifies to the contrary, the Bidder shall be bound by all the terms of the RFP.
- d. That the Bidder has the professional skills, personnel and resources/authorizations that are necessary for providing all such services as are necessary to perform its obligations under the application and this Engagement.
- e. That the Bidder shall use such assets of CRAMC as CRAMC may permit for the sole purpose of execution of its obligations under the terms of the RFP or the Engagement. The Bidder shall however, have no claim to any right, title, lien or other interest in any such property, and any possession of property for any duration whatsoever shall not create any right in equity or otherwise, merely by fact of such use or possession during or after the term hereof.
- vi. That the Bidder shall procure all the necessary permissions and adequate approvals and licenses for use of various software and any copyrighted process/products free from all claims, titles, interests and liens thereon and shall keep CRAMC, its directors, officers, employees, representatives, consultants and agents indemnified in relation thereto.
- vii. That all the representations and warranties as have been made by the Bidder with respect to its RFP and Engagement, are true and correct, and shall continue to remain true and correct throughout the term of the Engagement.
- viii. That the execution of the Service(s) herein is and shall be in accordance and in compliance with all applicable laws.
- ix. That there are – (a) no legal proceedings pending or threatened against Bidder or any of its partners or its team which adversely affect/may affect performance under this Engagement; and (b) no inquiries or investigations have been threatened, commenced, or pending against the Bidder or any of its Partners or its team members by any statutory or regulatory or investigative agencies.
- x. That the Bidder has the corporate power to execute, deliver and perform the terms and provisions of the Engagement and has taken all necessary corporate action to authorize the execution, delivery, and performance by it of the Engagement.
- xi. That all conditions precedent under the Engagement have been complied with.

- xii. That neither the execution and delivery by the Bidder of the Engagement nor the Bidder's compliance with or performance of the terms and provisions of the Engagement (i) will contravene any provision of any applicable law or any order, writ, injunction or decree of any court or governmental authority binding on the Bidder (ii) will conflict or be inconsistent with or result in any breach of any or the terms, covenants, conditions or provisions of, or constitute a default under any agreement, contract or instrument to which the Bidder is a party or by which it or any of its property or assets is bound or to which it may be subject.

9 Confidentiality

The Parties agree that they shall hold in trust any Confidential Information received by either Party, under the Engagement, and the strictest of confidence shall be maintained in respect of such Confidential Information. The Parties agree to execute the Confidentiality Agreement prior to finalization of Engagement and shall abide by the terms and conditions of confidentiality as contained therein.

10 Governing Law

The Engagement shall be governed in accordance with the laws of Republic of India. These provisions shall survive the Engagement.

11 Jurisdiction of Courts

The courts of India at Mumbai have exclusive jurisdiction to determine any proceeding in relation to the Engagement. These provisions shall survive the Engagement.

12 Time Limit for the Commencement of Work

Time limit for commencement of work shall be mutually decided at the time of award of Engagement.

Annexure I

Technical and Functional Specifications (TFS)

Note: The Bidder should mention in seriatim, whether all the features as mentioned are compiled/ available/ supported by marking their responses as 'Y'(Yes) or 'N'(No).

Sr. No.	Required Minimum Specifications	Bidder's Compliance Yes/No
1	Compliant	
	The proposed HSM should be FIPS 140-2 Level 3 & above Compliant	
2	Transaction Per Second (TPS)	
	The proposed HSM should have minimum 150 Transaction Per Second on RSA 2048 Bit	
3	Physical Characteristics	
	As per Industry Standards	
4	Supported operating systems	
	OS Support- Windows Serve 2016, 2019, 2022, Sun Solaris, Linux / Solaris for x86	
5	Virtual system Support	
	VMware	
6	Partitions/Virtual HSM	
	The proposed HSM should support minimum 20 Partitions / Virtual HSM so as it can allow to store different certificates in each partition. Each partition should be protected with unique set of user id and password to grant access as per CCA IVG guidelines.	
7	Host connectivity	
	Dual TCP/IP Network based appliance-Gigabit Ethernet connectivity	
8	Client Licenses (Application Server where client need to be installed to connect HSM)	
	Minimum 5 Client Licenses to be considered	
9	Safety, security, and environmental compliance	
	Should comply to standards like FIPS 140-2 Level 3 or above, CC EAL4+, ROHS, FCC part 15 Class B	
10	Secure Transport Mode	
	The HSM should support secure transport mode i.e. Temporary tamper state to ensure security when HSM is being moved from one facility to another	
11	Cryptographic Standards	

	Full Suite B support Asymmetric: RSA (1024-8192), DSA (1024-3072), Diffie-Hellman, KCDSA, Elliptic Curve Cryptography (ECDSA, ECDH, ECIES) with named, user defined and Brainpool curves Symmetric: AES, RC2, RC4, RC5, CAST, DES, Triple DES, ARIA, SEED Hash/Message Digest/HMAC: SHA-1, SHA-2 (224-512), SSL3-MD5-MAC, SSL3-SHA-1-MAC Random Number Generation: FIPS 140-2 approved DRBG (SP 800-90 CTR mode)	
12	Cryptographic module security	
	Compliance to FIPS 140-2 Level 3	
13	Application Interfaces (APIs)	
	Compatibility: PKCS#11, CAPI, JCE/JCA	
14	Key Generation and Storage	
	Ability to generate and store RSA keys (2048 and 4096) on board on demand Keys should always in Hardware throughout life cycle and never stored in Software in any form	
15	Speed	
	Signing speed: RSA 2048 bit – at least 150 Signatures/seconds	
16	Hardening	
	Device hardening - ability to disable functions not required by the host application	
17	Load Balancing	
	Clustering, Load-Balancing	
18	Key Synchronization	
	Synchronization of keys between two HSMs on real-time basis (without backup device)	
19	Key back up	
	Contents can be securely stored on backup device to simplify backup, cloning and disaster recovery	
20	Key Processes	
	Onboard key generation, Digital Signing & Verification process to be done inside the HSM only, for better performance and security	
21	Support for multiple HSMs	
	Multiple HSMs to be supportable for DR, key backup, key update, and key processes, load balancing and failover	
22	Monitoring	
	Storing of event-based audit logs and standard mechanisms for viewing logs	
	Integration with Security Information & Event Management (SIEM) Tool.	

23	Reliability	
	MTBF: Minimum 40000 hrs	
24	Licenses	
	Supply should include all the necessary licenses required for meeting the above specifications.	
25	Support	
	Provide new version upgrades, updates, patches, etc. for all the components / sub- components through the period of contract. 24/7 telephonic and email OEM support infrastructure based out of India	
	Support SNMP & Monitoring Agent	
	Should have GUI/CLI available with 2 factor Authentication using USB Tokens	
26	KMS	
	The KMS and HSM should be from the same OEM in order to ensure secure integration and communication	
	The Virtual form-factor of KMS should be FIPS certified (with certification in the name of the OEM)	
	KMS should offer Block Cipher Encryption software providing encryption of File Servers (Windows/Linux) for data residing locally or for external attached storages, seamlessly integrated in a complex environment without changing the applications.	
	The KMS platform should support generation of keys from on premise HSM Key Source with the GUI to upload keys as CRAMC Managed keys to Public CSP such as AWS, Azure in order to maintain the complete ownership	
	KMS cluster mode	
27	Hardware	
	Power Supply – Dual power supply & Type of Power supply	
	Make & Model	
	Rack size	

Annexure-II

FORMAT FOR APPLICATION FOR Procurement of Hardware Security Module (HSM) for Key Management (on the letter head of the firm)

Ref. No.

Date:

To,

The Chief Information Security Officer,
Canara Robeco Asset Management Company Ltd
4th Floor, Construction House,
No.5 Walchand Hirachand Marg,
Ballard Estate, Mumbai 400001

Sub: Providing Preliminary Information for procurement of Hardware Security Module (HSM) for Key Management for Canara Robeco Asset Management Company Ltd

Dear Sir,

In respect of the procurement of Hardware Security Module (HSM) for Key Management for Canara Robeco Asset Management Company Limited, please find enclosed our response to your RFP dated

Having examined the RFP document and the Scope, Eligibility Criteria and other terms and conditions as stipulated therein, we, the undersigned, hereby state that we are in conformity with the specified requirements and would like to offer to provide the Services as defined and described in the RFP, on the terms and conditions mentioned in the RFP Document.

1. We certify that all the information and representations furnished herewith are true, correct, valid and subsisting in every respect and can be supported with relevant documents of proof on demand by CRAMC.
2. We are submitting the application for preliminary evaluation and appointment of our firm for the procurement of HSM for Key Management with regards to Canara Robeco Asset Management Company Ltd and Canara Robeco Mutual Fund "CRMF") and other incidental assignments along with the audit scope.
3. We agree and undertake that if our firm is shortlisted for technical and commercial bidding, we shall comply with the same and undertake assignment as provided by CRAMC SPOC.
4. We agree that a bidder will be shortlisted for this activity for a period of 3 years, and we accept that the scope of work, Technical & Functional specifications for the same will be limited to the categories provided in this RFP.
5. If the assignment is awarded to our firm, we agree and undertake to provide the Services comprised in the scope within the timeframe specified, starting from the date of receipt of notification of award from CRAMC.

6. We agree and undertake to abide by the terms and conditions, provisions, stipulations and covenants from time to time and it shall remain binding upon us and may be accepted at any time before the expiration of that period.
7. We understand that you are not bound to accept our request for participation in the process or not bound to accept our proposals that you may receive or give any reason for rejection of any application. We also agree and confirm that we will not claim any expenses incurred by us in preparing and submitting this proposal.
8. We are also aware that Cramc has also right to re-issue / re-commence or completely cancel the selection process, to which we do not have right to object and have no reservation in this regard; the decision of Cramc in this regard shall be final, conclusive, and binding upon us.
9. The entire set of documents, information about our firm, and clients etc. are enclosed hereto and shall form part of this application.
10. We enclose herewith our firm's profile (as per the prescribed format attached) for your perusal.
11. I/We further declare and confirm that if the assignment is awarded to me/us, it would not result in any conflict of interest either with Cramc or its Employees, CRMF or its trustees.

I / We confirm that the information furnished here is true to the best of my knowledge.

Thanking you,

Yours faithfully,

Name of the Signatory

Encl: As above

NOTE:

- 1) All mandatory information requested for as per point No. 2.1 of the RFP should be submitted.
- 2) Incomplete applications and / or applications not in the prescribed format may be rejected without any further reference.

Annexure-III**Letter of confirmation regarding non-disqualification (to be submitted on letter head)****Ref. No.****Date:****To,**

**The Chief Information Security Officer,
Canara Robeco Asset Management Company Ltd
4th Floor, Construction House,
No.5 Walchand Hirachand Marg, Ballard Estate,
Mumbai 400001.**

Dear Sir,

With reference to your letter No. _____ dated _____, I/we confirm as follows: -

- i) I am/ Any of our partners is not an officer/employee of your company.
- ii) I am/ Any of our partners is not a partner or in employment of any office or employee of your company.
- iii) I am/ Any of our partners or Associates firms or sister concern or Branch office, is not assigned with any ongoing information security activity for your company.
- iv) I am/ We are not otherwise disqualified by SEBI, RBI, Canara Bank and its associates and subsidiaries.
- v) I/ We also confirm that I am/we are full time practicing information security firm and am/are not employed elsewhere and do not have any other business interest.
- vi) I/ We also assure you that I/ we will not be disqualified during the course of the assignment for any of the reasons mentioned above.
- vii) I/ We undertake not to subcontract any activity mentioned in the SOW assigned to me/us to any outsider without the express consent from CRAMC.

Yours faithfully,

Name of Signatory

Annexure-IV**Commercials / Rate Card of Hardware Security Module for Key Management for 3 Years****Capex commercials**

Code Number	Product Name	Quantity	Unit Price	Total Price

One time Implementation Cost

Service	Quantity	Unit Price	Total Price

Opex commercials

Code Number	Product Name	Quantity	Unit Price	Total Price

Annual Maintenance Cost

Service	Quantity	Unit Price	Total Price

Terms & Conditions

- 1) Lowest bidder will be calculated based on the summation of amount proposed for 3 years as mentioned in the table above.
- 2) The bidder should quote price inclusive of all expenses, duties, levies out of pocket expenses, etc. but exclusive of applicable taxes.
- 3) The applicable taxes would be paid on actuals by CRAMC.
- 4) The rate card for the capex commercials to be locked for 3 years.

Further, we confirm that we will abide by all the terms and conditions contained in the Request for Proposal document.